Docket No.

242261US0

IN THE UNITED STATES: PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Johann LEBAN, et al.

SERIAL NO:

10/736,742

GAU:

1614

FILED:

December 17, 2003

**EXAMINER:** 

FOR:

NOVEL COMPOUNDS AS ANTI-INFLAMMATORY, IMMUNOMODULATORY AND ANTI-

PROLIFERATORY AGENTS

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the attached German Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE			ATTY DOCKET NO.		SERIAL NO.			
(Modified)			242261US0		10/736,742			
13 E			APPLICANT					
LIST OF REFERENCES CITED BY ARPLICANT			Johann LEBAN, et al.					
JUN C 2 2004 E			FILING DATE		GROUP			
		JUN C Z ZOOT	ži –	December 17, 2003				
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	3,465,001	09/02/1969	W. A. BOLHOFER, et al.				
	AB	5,262,537	11/16/1993	B-S. HUANG, et al.				
	AC	4,661,630	04/28/1987	Y. HARIGAYA, et al.				
	AD	5,886,033	03/23/1999	W. SCHWAB, et al.				
	AE							
	AF							
	AG							
	АН							
	Al							
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	AL							
	АМ							
	AN							
			FO	REIGN PATENT DOCUMENTS				
-	•	DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
	AO	35 21 303	10/31/1985	GERMANY (with corr. GB 2 158 440)		123	x NO	
	AP	2 158 440	11/13/1985	GREAT BRITAIN				
	AQ.	WO 02/38153	05/16/2002	WIPO				
	AR	33 46 814	06/28/1984	GERMANY (with corr. US 4,661,630)			×	
	AS	195 39 638	04/30/1997	GERMANY (with corr. US 5,886,033)			×	
	AT							
	AU							
	AV				•			
		OTHER RE	FERENCES	Including Author, Title, Date, Pertinent	t Pages, e	tc.)		
	AW	E. KITA, et al., Polish Journal of Chemistry, vol. 53, no. 6, pages 1211-1219, "PROTOLYTIC EQUILIBRIUMS OF 4-PYRIDOXYL-4, 5, 6, 7-TETRAHYDROPYRIDO-[3, 4-C] IMIDAZOLE AND ITS DERIVATIVES", 1979 (submitting Chemical Abstracts only, AN 1979: 592609)						
	AX							
-	AY							
	AZ				Add	itional Refe	erences sheet(s) attached	
Examiner					Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

# LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or <u>Issue Date</u>	Inventor/ <u>Applicant</u>
246883US-0	10/736,711	12/17/03	LEBAN, et al.
242261US-0*	10/736,742	12/17/03	LEBAN, et al.
242266US0	10/736,739	12/17/03	LEBAN, et al.

<sup>\*</sup>Present Application; listed for information NFO/aaf/amr